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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. CHA920010022US1	
In Re Application Of: Lacan et al.			
Serial Number 09/988,320	Filing Date 11/16/2001	Examiner Unknown	Group Art Unit 2131
Title: Data Management System and Method			
Address to: Assistant Commissioner for Patents Washington, D.C. 20231			
<p style="text-align: center;"><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="padding-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;"><b>OR</b></p> <p style="padding-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>			

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
CHA920010022US1

In Re Application: Lacan et al.

JAN 03 2002

Serial No.  
09/988,320

Filing Date  
11/16/2001

Examiner  
Unknown

Group Art Unit  
2131

Data Management System and Method

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 09/0469 (IBM) as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited  
12/14/2001 with the U.S. Postal Service  
as first class mail under 37 C.F.R. 1.8 and is  
addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Martha Laffety

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Dated: December 14, 2001

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CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Lacan et al.

: Date: December 14, 2001

Serial Number: 09/988,320

: Group Art Unit: 2131

Filed: 11/16/2001 (new)

: Examiner: Unknown

Title: DATA MANAGEMENT SYSTEM  
AND METHOD

: IBM CORPORATION  
Intellectual Property Law  
: MD90/201-1  
8501 IBM Drive  
: Charlotte, NC 28262

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**INFORMATION DISCLOSURE STATEMENT (IDS) UNDER 37 CFR 1.97**

**Commissioner For Patents  
Washington, D. C. 20231**

Dear Sir:

The attached Form PTO-1449, and any attached foreign patent office search report, provides a listing of information which may be relevant to the subject application. This IDS is not intended as a representation that better art is not available, nor that the information provided is prior art.

This IDS is submitted under:

  X   37 CFR 1.97(b) - No Fee.

       37 CFR 1.97(c) - No Fee. Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application. This communication is dated \_\_\_\_\_, which is not more than 3 months prior to the mailing of this statement.

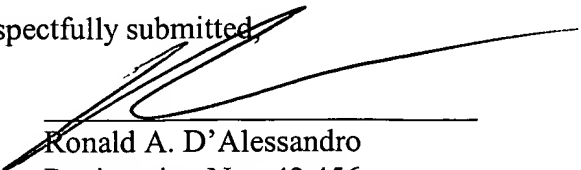
       37 CFR 1.97(c) - Fee.

       37 CFR 1.97(d) - Fee, Certification & Petition.

The Commissioner is authorized to charge payments of any associated fees required under 37 CFR 1.17(p) and (i) (1) to Deposit Account No. 09-0469 (IBM).

Respectfully submitted,

By:

  
\_\_\_\_\_  
Ronald A. D'Alessandro  
Registration No.: 42,456  
Telephone No.: (518) 449-0044

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

CHA920010022US1

Application Number

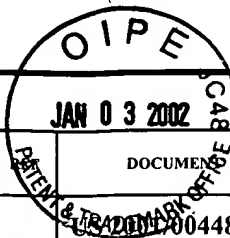
Applicant(s)

Lacan et al.

Filing Date

November 16, 2001

Group Art Unit



## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US 2001/0044886 A1	11/2001	Cassagnol et al.			
	US 2001/0032168 A1	10/2001	Adamson et al.			
	US 2001/0021926 A1	09/2001	Schneck et al.			
	US 6,314,519 B1	11/2001	Davis et al.			
	US 6,311,270 B1	10/2001	Challener et al.			
	US 6,289,462 B1	09/2001	McNabb et al.			
	US 6,275,814 B1	08/2001	Giansante et al.			
	US 6,260,019 B1	07/2001	Courts			
	US 6,226,745 B1	05/2001	Wiederhold			
	US 6,219,805 B1	04/2001	Jones et al.			
	5,970,464	10/1999	Apte et al.			

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## FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	G. T. Duncan and S. Mukherjee, "Microdata Disclosure Limitation in Statistical Databases: Query Size and Random Sample Query Control," IEEE, pp. 278-287, 1991.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.